

CAP Top 10 Deficiencies in 2016

| Rank | CAP Checklist Number | Total number of Citation (%) | Description |
|------|----------------------|------------------------------|---|
| 1 | GEN.55500 | 1154 (29.4%) | Competency Assessment of Testing Personnel |
| 2 | COM.01200 | 963 (7.1%) | Activity Menu |
| 3 | COM.10000 | 760 (5.3%) | Procedure Manual |
| 4 | COM.04250 | 674 (4.8%) | Comparability of Instruments and Methods - Nonwaived Testing |
| 5 | COM.10100 | 606 (4.2%) | Procedure Manual Review |
| 6 | COM.30600 | 603 (4.3%) | Maintenance/Function Checks |
| 7 | COM.30300 | 602 (4.2%) | Reagent Labeling |
| 8 | COM.01700 | 600 (4.1%) | PT Evaluation |
| 9 | COM.04200 | 550 (4.0%) | Instrument/Equipment Record Review |
| 10 | COM.40000 | 545 (4.0%) | Method Validation and Verification Approval - Nonwaived Tests |

- A. Statistics compiled by the CAP LAP program as of March 14, 2017, Nation-wide statistics. All citations are arranged in descending order. Direct references to regulations are shown with a corresponding CAP checklist item number. Unable to break the data down into sub divisions, such as POL, reference labs, and referral labs. Total % of the labs listed in parenthesis. The denominator used for the % calculation is based on the number of times that a requirement is used for inspection. Since laboratories get multiple All Common Checklists and only one Laboratory General Checklist, the overall numbers would be different.