

**TECHNOLOGIST/SCIENTIST COMPETENCY ASSESSMENT
UPLC-MS/MS - Immunosuppressant Drugs Assay**

| Employee Name | Position | Date |
|---------------|----------|------|
| | | |

Type of Assessment: 6 month Annual New Other

| 1. Direct observations of routine patient test performance | Pass | Fail | N/A | Assessor initials/date |
|---|-------------|-------------|------------|-------------------------------|
| Patient identification | | | | |
| Specimen handling | | | | |
| Sets up test sequence | | | | |
| Verify expiration date of reagents, calibrators and QCs | | | | |
| Procedural precautions during sample preparation | | | | |
| Instrument operation and function checks | | | | |
| 2. Monitoring the recording/reporting of results | Pass | Fail | N/A | Assessor initials/date |
| MSDRUG incomplete worksheet | | | | |
| LHR report | | | | |
| MSDRUG complete worksheet | | | | |
| Comparison of previously analyzed specimens, internal blind testing samples or external proficiency testing samples | | | | |
| 3. Review of intermediate test records, worksheets, QC, PM | Pass | Fail | N/A | Assessor initials/date |
| MSCheck or tune report | | | | |
| System suitability check and/or column check results | | | | |
| Reagent check results | | | | |
| Batch worksheet | | | | |
| Instrument print-out (TargetLynx report) | | | | |
| Entry and tech review of QCs in Unity | | | | |
| Instrument maintenance log | | | | |
| Equipment maintenance log (centrifuge) | | | | |
| Calculation/dilution worksheet | | | | |
| Troubleshooting log | | | | |
| 4. Direct observations of performance of instrument maintenance (for annual assessment select at least one procedure per year) | Pass | Fail | N/A | Assessor initials/date |
| Xevo TQ MS maintenance | | | | |
| Acquity UPLC maintenance | | | | |
| Equipment Maintenance (gas system, centrifuge) | | | | |
| Replacement of column | | | | |

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5. Written evaluation of problem-solving skills and basic knowledge

Question 1:

What is the purpose for calculating and monitoring ion ratios? Using the data below from a cyclosporine analysis, calculate the ion ratios for sample F2513.

| Name | Sample Text | Type | RT | IS RT | Area | IS Area | 1° Area | ng/mL |
|--------------|-------------|---------|------|-------|--------|---------|---------|-------|
| 01-29-15run1 | F2513 | Analyte | 0.99 | 0.99 | 289705 | 153787 | 45496 | 349.8 |

Question 2:

Data from a recent FK506 analysis is provided below.

Identify the error in this data set and describe the necessary corrective action.

| FK506 | | | | | | | | | | | |
|-------|-----------------|-------------|----------|-----------|------|-------|-----------|-----------|----------|--------|-------|
| # | Name | Sample Text | Type | Std. Conc | RT | IS RT | Area | IS Area | Response | ng/mL | %Dev |
| 1 | 09-04-12run1_01 | water | Blank | | | | | | | | |
| 2 | 09-04-12run1_02 | CAL0060512 | Standard | 198.400 | 1.04 | 1.04 | 56073.109 | 36013.500 | 1.557 | 178.50 | -10.0 |
| 3 | 09-04-12run1_03 | WB033012 | Blank | | 1.02 | 1.02 | 17.077 | 34163.820 | 0.000 | | |
| 4 | 09-04-12run1_04 | QC3041812 | QC | 103.500 | 1.04 | 1.04 | 29317.531 | 32263.689 | 0.909 | 102.70 | -0.8 |
| 5 | 09-04-12run1_05 | QC1041812 | QC | 2.100 | 1.04 | 1.02 | 711.892 | 35106.242 | 0.020 | | |
| 6 | 09-04-12run1_06 | M55167/ | Analyte | | 1.04 | 1.04 | 3314.393 | 35356.789 | 0.094 | 7.42 | |
| 7 | 09-04-12run1_07 | M55384/ | Analyte | | 1.04 | 1.04 | 39.007 | 44770.297 | 0.001 | | |
| 8 | 09-04-12run1_08 | M55505/ | Analyte | | 1.06 | 1.04 | 19.748 | 42464.914 | 0.000 | | |
| 9 | 09-04-12run1_09 | T64028/ | Analyte | | 1.04 | 1.02 | 5462.640 | 44369.965 | 0.123 | 10.85 | |
| 10 | 09-04-12run1_10 | T64049/ | Analyte | | 1.04 | 1.04 | 2616.220 | 42821.719 | 0.061 | 3.60 | |
| 11 | 09-04-12run1_11 | CAL0060512 | Analyte | | 1.04 | 1.04 | 88584.969 | 45728.555 | 1.937 | 222.95 | 12.4 |
| 12 | 09-04-12run1_12 | T64264/ | Standard | 0.000 | 1.04 | 1.04 | 2541.832 | 41937.203 | 0.061 | 3.54 | |
| 13 | 09-04-12run1_13 | T64310/ | Analyte | | 1.02 | 1.02 | 3227.921 | 42652.332 | 0.076 | 5.31 | |
| 14 | 09-04-12run1_14 | T64323/ | Analyte | | 1.04 | 1.04 | 5583.380 | 43584.934 | 0.128 | 11.43 | |
| 15 | 09-04-12run1_15 | T64336 | Analyte | | 1.04 | 1.02 | 23.203 | 43909.496 | 0.001 | | |
| 16 | 09-04-12run1_16 | QC2041812 | QC | 51.700 | 1.04 | 1.04 | 22007.020 | 46389.734 | 0.474 | 51.92 | 0.4 |
| 17 | 09-04-12run1_17 | CAL0060512 | Standard | 198.400 | 1.04 | 1.04 | 79559.250 | 41931.043 | 1.897 | 218.30 | 10.0 |
| 18 | 09-04-12run1_18 | water | Blank | | | 1.18 | | 61.584 | | | |

Note: Medical record numbers are purposely concealed in this report.

Question 3:

A number of data quality flags are in place to prevent reporting of erroneous results. When present, technologists must take steps to investigate the failure and apply appropriate corrective actions.

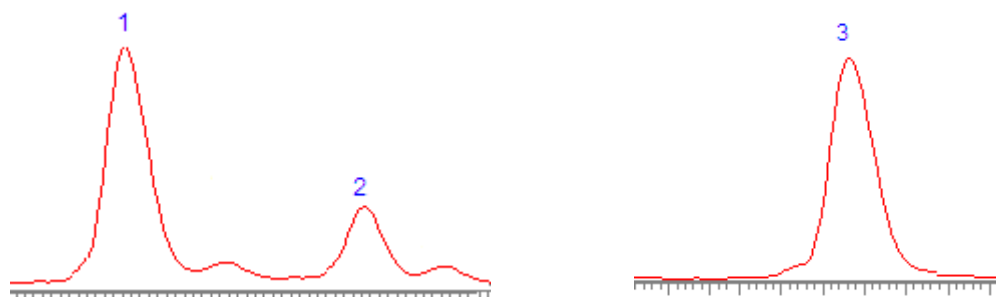
Consider a scenario where for a single patient result, the ion ratio failed and the internal standard area is below the acceptable limit. Describe 3 possible steps to take and associated corrective actions to be made before reporting the result.

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| | | |
|----|----|----|
| 1. | 2. | 3. |
|----|----|----|

Question 4:
Integration of chromatographic peaks (determination of height, area, and retention time) is the first and most important step in the data analysis of all chromatography-based analytical methods. To ensure accuracy in situations where manual peak integration is warranted, it is important that technologists use the appropriate technique.

Draw lines and shade the peak area to show how you would integrate peaks 1, 2 and 3 below.



Question 5:
Upon performing system function check prior to an immunosuppressant drugs analytical run, the pressure recording in the ACQUITY UPLC Console shows a pressure of 75 bars, which exceeds the acceptable limit and must be resolved. Rank the following steps based on the order you would take when troubleshooting this scenario of a high system backpressure failure.

- Confirm the flow path is correct (i.e. flow is diverted to waste).
- Remove the column.
- Check for blockage in the post-column tubing leading to the MS divert switch valve.
- Check for blockage in divert switch valve.
- Check the LC method and perform visual inspection of the column manager.
- Check for blockage in the pre-column tubing (i.e. tubing from the injector to the column switch valve).

COMMENTS/CORRECTIVE ACTION/FOLLOW-UP:

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By signing and dating this form you certify that correct actions or responses were provided for the competency assessment tools above. In the event that errors were made, the correct action or response was reviewed with the employee followed by repeat evaluation with an acceptable response.

Employee competency assessment acceptable:

Yes

No

| | |
|---------------------|-------|
| Employee Signature: | Date: |
| Assessor Signature: | Date: |